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# BEFORE THE INSURANCE COMMISSIONER OF THE STATE OF UTAH

COMPLAINANT:

UTAH INSURANCE DEPARTMENT

**RESPONDENT:** 

BRANDON JAMES NELSON
3390 Colemere Way
Salt Lake City, UT 84109
License Pending

**STIPULATION** 

& ORDER

Docket No. 2008 - 123 4.6

Enf. Case No. \_2222\_

## **STIPULATION**

- 1. Respondent, Brandon Nelson, is a resident of the State of Utah whose application for an insurance agent's license is currently pending.
  - 2. Respondent stipulates with the Complainant, Utah Insurance Department, as follows:
- a. If a hearing were held, witnesses called by the Complainant could offer and introduce evidence that would support the Findings of Fact herein;
  - b. Respondent admits the Findings of Fact and Conclusions made therefrom;
- c. Respondent stipulates to the summary entry of the Order herein which shall be in lieu of other administrative proceedings by Complainant in this matter; and

d. Respondent and Complainant have negotiated the terms of the Order entered herein and Respondent agrees to its entry and further agrees to be bound by all its terms.

- 3. Respondent is aware of his right to a hearing at which he may be represented by counsel, present evidence and cross-examine witnesses. Respondent has irrevocably waived his right to such hearing and to any appeal related thereto.
- 4. Respondent admits the jurisdiction of the State of Utah Insurance Commissioner as to all matters herein.
- 5. Respondent is acting herein free from any duress or coercion of any kind or nature, having been advised fully as to his rights set forth herein.
- 6. Respondent acknowledges that the issuance of this Order by the Commissioner is solely for the purpose of disposition of the matter entitled herein.

DATED this 21 day of 4 ygust , 2008.

BRANDON JAMES NELSON

UTAH INSURANCE DEPARTMENT

M. Gale Lemmon, Assistant Attorney General

Based upon the foregoing Stipulation and information in the file, the Presiding Officer makes the following Findings of Fact:

## FINDINGS OF FACT

- 1. Respondent submitted an application for an insurance agent's license to the department on or about June 2, 2008. In his application Respondent answered "No" to the question "Have you been convicted of ... committing a crime...?"
- 2. At the time he submitted his application, Respondent knew that he had been convicted of disorderly conduct, a class C misdemeanor on September 13, 1999, and of possession of a dangerous drug, a class B misdemeanor on November 13, 2000.

Based upon the foregoing Stipulation and Findings of Fact, the Presiding Officer enters the following Conclusions of Law:

#### CONCLUSIONS OF LAW

- 1. In stating in his license application that he had not been convicted of a crime when such was not the case, Respondent violated Utah Code Annotated §§ 31A-23a-105(2) and 31A-2-202(6).
- 2. In providing false information in his license application, Respondent brings into question his qualification to hold an insurance agent's license under Utah Code Annotated § 31A-23a-107(2), justifying the imposition of probation on his license under Utah Code Annotated § 31A-23a-112.

Based upon the foregoing Stipulation, Findings of Fact and Conclusions of Law, the Presiding Officer herewith enters the following Order:

## **ORDER**

#### IT IS HEREBY ORDERED:

- 1. Respondent is assessed an administrative forfeiture in the amount of \$500.00 to be paid within 30 days of the date of this Order.
- 2. Upon payment of the forfeiture assessed herein, the department shall issue a license to the Respondent on a probationary status for a period of 24 months.

#### **NOTIFICATION**

Respondent is hereby notified that failure to abide by the terms of this Order may subject you to further penalties, including additional forfeitures of up to \$5,000.00 per violation and the suspension or revocation of your license, and the filing of an action to enforce this Order in the District Court, which may impose penalties of up to \$10,000.00 per day for continued violation.

You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.

DATED this 2/ day of 4vgust, 2008.

D. KENT MICHIE INSURANCE COMMISSIONER

MARK E. KLEINFIELD, Esq.

Administrative Law Judge Utah Insurance Department

State Office Building, Room 3110

Salt Lake City, Utah 84114 Telephone (801) 538-3800

# **CERTIFICATE OF MAILING**

I do hereby certify that on this date I mailed, by regular mail, postage prepaid, a true and correct copy of the attached:

> **STIPULATION** & **ORDER**

To the following:

Brandon James Nelson 3390 Colemere Way Salt Lake City, UT 84109

DATED this 8 <sup>th</sup> day of September 2008.

Linda Hardy

Utah Department of Insurance State Office Building, Room 3110 Salt Lake City, UT 84114-6901

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# UTAH Invoice - Original

Printed Date: September 08, 2008

Invoice Date: September 08, 2008

Balance Due: \$500.00

Due Date: October 03, 2008

Invoice ID: 391799 Payor ID: 1363214

NELSON BRANDON JAMES CAMBRIDGE FINANCIAL CENTER 6440 S WASATCH BLVD 150 SALT LAKE CITY UT 84121

**Item Description** 

9/8/2008 Monetary Penalty Individual

E-case 2222 Dcoket 2008-123 LC

**Amount** \$500.00

Original Amount Due \$50

\$500.00

# UTAH Invoice - Original

Invoice Date: September 08, 2008

Balance Due: \$500.00

Due Date: October 03, 2008

Invoice ID: 391799 Payor ID: 1363214

Payor Name: NELSON, BRANDON

**JAMES** 

Make checks payable to: Utah Insurance Department

Send payment to:

Utah Insurance Department 3110 State Office Building Salt Lake City, UT 84114-6901